

Client Fee Schedule

Category Name	Fee Description	ACP Charges
Mutual Fund Services	Ntf Short Term Redemptions	\$ 60.00
Mutual Fund Services	Ntf Srs Below Minimum Trade	\$ 8.00
Mutual Fund Services	Ntf Srs Exchange Short-term Redemption	\$ 8.00
Mutual Fund Services	Ntf Srs Short-term Redemption	\$ 8.00
Account Transfer	Automatic Outgoing Account Transfer	\$ 120.00
Account Transfer	Manual Transfer	\$ 120.00
Account Transfer	Automatic Internal Cits Pbd To Pbd	\$ 120.00
Asset Movements Fee	Insufficient Fund Error	\$ 30.00
Asset Movements Fee	Insufficient Funds - Banking And Funds	\$ 30.00
Asset Movements Fee	Loan Processing	\$ 70.00
Asset Movements Fee	Payment Stop Error	\$ 15.00
Asset Movements Fee	Stop Check Error	\$ 15.00
Asset Movements Fee	Uncollected Fund Error	\$ 30.00
Bond Redemption Notification Fee	Bond Redemption Notification Fee	\$ 3.00
Courier Fee	Overnight Check Delivery	\$ 20.00
Courier Fee	Overnight Check Foreign Delivery	\$ 30.00
Courier Fee	Overnight Check Saturday Delivery	\$ 25.00
Custodial Fee	Inactive Mf	\$ 17.50
Custodial Fee	Inactive Mixed	\$ 35.00
Edocument Fees	1099 Tax Statement	\$ 4.00
Foreign Receive and Deliver Fees	Agent Bank Fee - Euroclear Free Delivery	\$ 30.00
Foreign Receive and Deliver Fees	Agent Bank Fee - Local Market Delivery	\$ 80.00
Foreign Receive and Deliver Fees	Agent Bank Fee - Local Market Receive	\$ 80.00
Foreign Receive and Deliver Fees	Agent Bank Fees- Euroclear Free Receives	\$ 30.00
Foreign Receive and Deliver Fees	Clearance Fee Acats	\$ 80.00
Foreign Receive and Deliver Fees	Euro Clear Acats	\$ 35.00
Margin Extension	Margin Extension	\$ 15.00
Margin Extension	Margin Extension La	\$ 15.00
Mutual Fund Exchanges	Mutual Fund Exch Fee (per Side)	\$ 7.00
Mutual Fund Exchanges	Mutual Fund Share Class Exchange	\$ 7.00
Mutual Fund Exchanges	Srs Exchange Fee (per Side)	\$ 7.00
Non U S Account Fee	Non U S Account Services	\$ 250.00
Physical Private Placement Services	Physical Private Placement Fee	\$ 500.00
Precious Metal Storage Fees	Precious Metal Storage	\$ 75.00

Procash Cash Mgmt	Ach Return Chg	\$ 25.00
Puerto Rico Tax Reporting	Puerto Rico Tax Reporting	\$ 50.00
Redemptions	Bond Redemptions	\$ 15.00
Redemptions	MUNI Debt defeased	\$ 15.00
Redemptions	Physical Redemptions	\$ 15.00
Redemptions	Redemptions - Fnl Pcpl Pymt Chgd	\$ 15.00
Redemptions	Redemptions - Fnl Ppl Pymt Rcvd	\$ 15.00
Redemptions	Security Pre refunded	\$ 15.00
Reorganization	Mandatory Reorg - Name Change	\$ 15.00
Reorganization	Mandatory Reorg Deposit	\$ 15.00
Reorganization	Mandatory Reorg Fee - Forward Split	\$ 15.00
Reorganization	Mandatory Reorg Fee - Sec Liquidated	\$ 15.00
Reorganization	Mandatory Reorg Physical	\$ 15.00
Reorganization	Mandatory Reverse Splits	\$ 15.00
Reorganization	Mandatory Reverse Splits Physical	\$ 15.00
Reorganization	Voluntary Conversions	\$ 60.00
Reorganization	Voluntary Elections	\$ 60.00
Reorganization	Voluntary Exchanges	\$ 60.00
Reorganization	Voluntary Exercises	\$ 60.00
Reorganization	Voluntary Reorg	\$ 60.00
Reorganization	Voluntary Reorg - Combine Units	\$ 60.00
Reorganization	Voluntary Reorg - Consent Fee Pymt	\$ 60.00
Reorganization	Voluntary Reorg - Section 302 Domestic	\$ 60.00
Reorganization	Voluntary Reorg - Section 302 Foreign	\$ 60.00
Reorganization	Voluntary Reorg - Split Units	\$ 60.00
Reorganization	Voluntary Reorg - Uit Exchange Trans	\$ 60.00
Reorganization	Voluntary Reorg - Vol Redemption	\$ 60.00
Reorganization	Voluntary Reorg -redemption Survivor Opt	\$ 60.00
Retirement Cash Mgmt	Ach Return Chg Employer	\$ 25.00
Retirement Cash Mgmt	Ach Return Chg Participant	\$ 25.00
Safekeeping	Safekeeping - Non-us Market Securities	\$ 8.00
Safekeeping	Safekeeping - Name Us	\$ 8.00
Security Transfers	Direct Registration Eligible Pshg-cust	\$ 20.00
Security Transfers	Dwac Dtc Deposit Pass Through	\$ 6.00
Security Transfers	Dwac Dtc Withdrawal Pass Through	\$ 8.00
Security Transfers	Pershing Dwac Processing Fee	\$ 8.00
Security Transfers	GNMA Bonds	\$ 80.00
Security Transfers	Legal Transfer	\$ 80.00
Security Transfers	Restricted Legal Transfer	\$ 80.00
Security Transfers	Accommodation Transfer	\$ 80.00
Security Transfers	Corp Muni Bond Transfer And Ship	\$ 80.00
Security Transfers	GOVT Bonds UITs	\$ 80.00



Security Transfers	Transfer And Ship	\$ 80.00
Security Transfers	Rgm Transfers	\$ 80.00
Wire Fees	Fed Fund Wire Distribution	\$ 75.00
Wire Fees	Outgoing Non Usd Wires	\$ 75.00
Asset Management Accounts	Gold Account Annual Fee	\$ 120.00
Asset Management Accounts	Gold Account Annual Fee- Corporate	\$ 170.00
Asset Management Accounts	Platinum Account Annual Fee	\$ 170.00
Asset Management Accounts	Platinum Account Annual Fee- Corporate	\$ 280.00
Asset Management Accounts	Silver Account Annual Fee	\$ 30.00
Asset Management Accounts	Silver Plus Account Annual Fee	\$ 60.00
Limited Partnership Fees	Pershing Processing Fee	\$ 55.00
Portfolio Evaluation Services	Online Performance Basic Package	\$ 12.00
Portfolio Evaluation Services	Online Performance Plus Package	\$ 15.00
Portfolio Evaluation Services	Quarterly Performance Reporting - Basic	\$ 20.00
Portfolio Evaluation Services	Quarterly Performance Reporting - Plus	\$ 35.00
Procash Fees	Business Checks Reorder- Corp Gold Plat	\$ 50.00
Procash Fees	Business Initial Check. Order	\$ 60.00
Procash Fees	Carbon Copy Check Reorder	\$ 30.00
Procash Fees	Cash Advance Transaction Fee (non-atm)	\$ 0.50
Procash Fees	Checkbook / Debit Overnight Mailing Cust	\$ 50.00
Procash Fees	Gold Check Reorder	\$ 15.00
Procash Fees	Initial Reorder Of Pcp Carbon Copy Ck Rq	\$ 20.00
Procash Fees	Platinum Check Reorder	\$ 10.00
Procash Fees	Resource Checking Return Check	\$ 30.00
Procash Fees	Resource Checking Stop Payment	\$ 30.00
Procash Fees	Returned Checks	\$ 30.00
Procash Fees	Silver Check Reorder	\$ 15.00
Procash Fees	Stop Payment	\$ 30.00
Retirement & Savings Accounts	Flex 401 (k) Maintenance Fee B1	\$ 150.00
Retirement & Savings Accounts	Flex Ps/ Mpp Maintenance Fee B1	\$ 150.00
Retirement & Savings Accounts	Ind(k) & Simplified 401 (k) B1	\$ 80.00
Retirement & Savings Accounts	Ira Maintenance Fee B1	\$ 47.00
Retirement & Savings Accounts	Mfo Maintenance Fee B1	\$ 15.00
Retirement & Savings Accounts	Qualified Retirement Plan B1	\$ 65.00
Retirement & Savings Accounts	Simplified Ps / Mpp Maint. Fee B1	\$ 80.00
Retirement & Savings Accounts	Mutual Fund Only Conversion Fee	\$ 55.00
Retirement & Savings Accounts	Retirement Termination Fee B1	\$ 100.00
Special Product Processing	Registered Alt Investment Annual Fee	\$ 40.00
Special Product Processing	Registered Alt Investment Transfer Fee	\$ 40.00
Special Product Processing	Unregistered Alt Investment Annual Fee	\$ 130.00
Special Product Processing	Unregistered Alt Investment Transfer Fee	\$ 130.00
Special Statement Production	Year End Account Report	\$ 6.00